## Possible Implications of Small or Large CP Violation in $B_d^0$ vs $\bar{B}_d^0 \to J/\psi K_{\rm S}$ Decays

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## Abstract

We argue that a small or large CP-violating asymmetry  $\mathcal{A}_{\psi K_S}$  in  $B_d^0$  vs  $\bar{B}_d^0 \to J/\psi K_S$  decays, which seems to be favored by the recent BaBar or Belle data, might hint at the existence of new physics in  $B_d^0 - \bar{B}_d^0$  mixing. We present a model-independent framework to show how new physics in  $B_d^0 - \bar{B}_d^0$  mixing modifies the standard-model CP-violating asymmetry  $\mathcal{A}_{\psi K_S}^{\mathrm{SM}}$ . We particularly emphasize that an experimental confirmation of  $\mathcal{A}_{\psi K_S} \approx \mathcal{A}_{\psi K_S}^{\mathrm{SM}}$  must not imply the absence of new physics in  $B_d^0 - \bar{B}_d^0$  mixing.

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Recently the BaBar and Belle Collaborations have reported their new measurements of the CP-violating asymmetry in  $B_d^0$  vs  $\bar{B}_d^0 \to J/\psi K_S$  decays:

$$\mathcal{A}_{\psi K_S} = \begin{cases} 0.59 \pm 0.14(\text{stat}) \pm 0.05(\text{syst}) , & (\text{BaBar [1]}) , \\ 0.99 \pm 0.14(\text{stat}) \pm 0.06(\text{syst}) , & (\text{Belle [2]}) . \end{cases}$$
 (1)

The central values of these two measurements are apparently different from that of the previous CDF measurement,  $\mathcal{A}_{\psi K_S} = 0.79 \pm 0.42$  [3]; and they are also different from the result obtained from global analyses of the Cabibbo-Kobayashi-Maskawa (CKM) unitarity triangle in the standard model,  $\mathcal{A}_{\psi K_S}^{\text{SM}} = 0.75 \pm 0.06$  [4]. In view of the error bars associated with the BaBar and Belle measurements, it remains too early to claim any serious discrepancy between the experimental result and the standard-model prediction. Nevertheless, one cannot rule out the possibility of  $\mathcal{A}_{\psi K_S} < \mathcal{A}_{\psi K_S}^{\text{SM}}$  or  $\mathcal{A}_{\psi K_S} > \mathcal{A}_{\psi K_S}^{\text{SM}}$ . A small or large CP-violating asymmetry in  $B_d \to J/\psi K_S$  decays should be a clean signal of new physics beyond the standard model.

The purpose of this Brief Report is two-fold. First, we present a model-independent framework to show how new physics in  $B_d^0 - \bar{B}_d^0$  mixing may modify the standard-model quantity  $\mathcal{A}_{\psi K_S}^{\rm SM}$ . We find that the possible deviation of  $\mathcal{A}_{\psi K_S}$  from  $\mathcal{A}_{\psi K_S}^{\rm SM}$  can fully be described in terms of three independent parameters, including the magnitude and phase of the new-physics contribution to  $B_d^0 - \bar{B}_d^0$  mixing. Second, we point out that the equality  $\mathcal{A}_{\psi K_S} = \mathcal{A}_{\psi K_S}^{\rm SM}$  itself must not mean the absence of new physics in  $B_d^0 - \bar{B}_d^0$  mixing. Indeed there may exist a specific parameter space for the new-physics contribution to  $B_d^0 - \bar{B}_d^0$  mixing, in which the value of  $\mathcal{A}_{\psi K_S}$  coincides with that of  $\mathcal{A}_{\psi K_S}^{\rm SM}$ . Hence measuring the CP-violating asymmetry  $\mathcal{A}_{\psi K_S}$  alone is neither enough to test the standard model nor enough to constrain the possible new physics in  $B_d^0 - \bar{B}_d^0$  mixing.

It is well known that the CP asymmetry  $\mathcal{A}_{\psi K_S}$  arises from the interplay of the direct decays of  $B_d^0$  and  $\bar{B}_d^0$  mesons, the  $B_d^0$ - $\bar{B}_d^0$  mixing in the initial state, and the  $K^0$ - $\bar{K}^0$  mixing in the final state [5]:

$$\mathcal{A}_{\psi K_S} = -\operatorname{Im}\left(\frac{q}{p} \cdot \frac{V_{cb}V_{cs}^*}{V_{cb}^*V_{cs}} \cdot \frac{q_K^*}{p_K^*}\right) , \qquad (2)$$

where  $V_{cb}$  and  $V_{cs}$  are the CKM matrix elements, p and q are the  $B_d^0$ - $\bar{B}_d^0$  mixing parameters,  $p_K$  and  $q_K$  are the  $K^0$ - $\bar{K}^0$  mixing parameters, and the minus sign on the right-hand side of Eq. (2) comes from the CP-odd eigenstate  $J/\psi K_S$ . In this expression the tiny penguin contributions to the direct transition amplitudes, which may slightly modify the ratio  $(V_{cb}V_{cs}^*)/(V_{cb}^*V_{cs})$  [6], have been neglected. Within the standard model  $q_K/p_K \approx 1$ ,  $q/p \approx V_{td}/V_{td}^*$  and  $(V_{cb}V_{cs}^*)/(V_{cb}^*V_{cs}) \approx 1$  are excellent approximations in the Wolfenstein phase convention for the CKM matrix [7]. Therefore one obtains

$$\mathcal{A}_{\psi K}^{\rm SM} \approx -\text{Im}\left(\frac{V_{td}}{V_{td}^*}\right) \approx \sin 2\beta ,$$
 (3)

where  $\beta \equiv \arg[-(V_{cb}^*V_{cd})/(V_{tb}^*V_{td})] \approx \arg(-V_{td}^*)$  is one of the three inner angles of the CKM unitarity triangle [8]. A recent global analysis of the quark flavor mixing data and the CP-violating observables in the kaon system yields  $\sin 2\beta = 0.75 \pm 0.06$  [4].

If the measured value of  $\mathcal{A}_{\psi K_S}$  deviates significantly from the standard-model prediction in Eq. (3), it is most likely that the  $B_d^0$ - $\bar{B}_d^0$  mixing phase q/p consists of unknown new physics contributions. Of course there may also exist new physics in  $K^0$ - $\bar{K}^0$  mixing, contributing a non-trivial complex phase to  $\mathcal{A}_{\psi K_S}$  through  $q_K/p_K$ . It is quite unlikely that the tree-level W-mediated decays of  $B_d^0$  and  $\bar{B}_d^0$  mesons are contaminated by any kind of new physics in a significant way [9].

To be specific, we assume that a possible discrepancy between  $\mathcal{A}_{\psi K_S}$  and  $\mathcal{A}_{\psi K_S}^{\mathrm{SM}}$  mainly results from new physics in  $B_d^0 - \bar{B}_d^0$  mixing. We therefore write down the ratio q/p in terms of the off-diagonal elements of the  $2 \times 2$   $B_d^0 - \bar{B}_d^0$  mixing Hamiltonian:

$$\frac{q}{p} = \sqrt{\frac{M_{12}^* - i\Gamma_{12}^*/2}{M_{12} - i\Gamma_{12}/2}} \tag{4}$$

with

$$M_{12} = M_{12}^{\rm SM} + M_{12}^{\rm NP} \tag{5}$$

and  $\Gamma_{12} = \Gamma_{12}^{\rm SM}$ . Note that  $|M_{12}| \gg |\Gamma_{12}|$  is expected to hold both within and beyond the standard model, thus we have  $q/p \approx \sqrt{M_{12}^*/M_{12}}$  as a good approximation. The relative magnitude and the phase difference between the new-physics contribution  $M_{12}^{\rm NP}$  and the standard-model contribution  $M_{12}^{\rm SM}$  are in general unknown. By definition, we may take  $|M_{12}| = \Delta M/2$ , where  $\Delta M = (0.487 \pm 0.014)~{\rm ps}^{-1}$  is the experimentally measured mass difference between two mass eigenstates of  $B_d$  mesons [10]. Then we parametrize  $M_{12}^{\rm SM}$ ,  $M_{12}^{\rm NP}$  and  $M_{12}$  in the following way:

where  $R_{\rm SM}$  and  $R_{\rm NP}$  are real and positive parameters,  $\theta$  represents the new-physics phase, and  $\phi$  denotes the effective (overall) phase of  $B_d^0$ - $\bar{B}_d^0$  mixing. In this case,  $M_{12}^{\rm SM}$ ,  $M_{12}^{\rm NP}$  and  $M_{12}$  (or equivalently,  $R_{\rm SM}e^{{\rm i}2\beta}$ ,  $R_{\rm NP}e^{{\rm i}2\theta}$  and  $e^{{\rm i}2\phi}$ ) form a triangle in the complex plane, as illustrated by Fig. 1. The dual relation between  $R_{\rm SM}$  and  $R_{\rm NP}$  can be expressed as

$$R_{\rm NP} = -R_{\rm SM} \cos 2(\theta - \beta) \pm \sqrt{1 - R_{\rm SM}^2 \sin^2 2(\theta - \beta)}$$
, (7a)

and

$$R_{\rm SM} = -R_{\rm NP} \cos 2(\theta - \beta) \pm \sqrt{1 - R_{\rm NP}^2 \sin^2 2(\theta - \beta)}$$
, (7b)

which depends only upon the phase difference  $(\theta - \beta)$ . There exist two possible solutions for  $R_{\rm NP}$  or  $R_{\rm SM}$ , corresponding to  $(\pm)$  signs on the right-hand side of Eq. (7). Numerically,  $R_{\rm SM} > 0$  and  $R_{\rm NP} \ge 0$  must hold for either solution.

The magnitude of  $R_{\rm SM}$  can be calculated in the box-diagram approximation [11]:

$$R_{\rm SM} = \frac{G_{\rm F}^2 B_B f_B^2 M_B m_t^2}{6\pi^2 \Delta M} \eta_B F(z) |V_{tb}V_{td}|^2, \qquad (8)$$

where  $G_{\rm F}$  is the Fermi constant,  $B_B$  is the "bag" parameter describing the uncertainty in evaluation of the hadronic matrix element  $\langle B_d^0|\bar{b}\gamma_\mu(1-\gamma_5)d|\bar{B}_d^0\rangle$ ,  $M_B$  is the  $B_d$ -meson mass,  $f_B$  is the decay constant,  $m_t$  is the top-quark mass,  $\eta_B$  denotes the QCD correction factor,  $V_{tb}$  and  $V_{td}$  are the CKM matrix elements, and F(z) stands for a slowly decreasing monotonic function of  $z\equiv m_t^2/M_W^2$  with  $M_W$  being the W-boson mass. At present it is difficult to obtain a reliable value for  $R_{\rm SM}$ , because quite large uncertainties may arise from the input parameters  $B_B$ ,  $f_B$  and  $|V_{td}|$ . However,  $R_{\rm SM}$  is in general expected to be close to unity, no matter what kind of new physics is hidden in  $B_d^0$ - $\bar{B}_d^0$  mixing. Note that  $R_{\rm SM}=1$  must not lead to  $R_{\rm NP}=0$ . There is another solution,  $R_{\rm NP}=-2\cos2(\theta-\beta)$  with  $\cos2(\theta-\beta)\leq0$ , corresponding to  $R_{\rm SM}=1$ . On the contrary,  $N_{\rm NP}=0$  must result in  $R_{\rm SM}=1$ , as indicated by Eq. (7b).

With the help of Eqs. (5) and (6), we recalculate the CP-violating asymmetry  $\mathcal{A}_{\psi K_S}$  and arrive at the following result:

$$\mathcal{A}_{\psi K_S} = \sin(2\phi) = R_{SM}\sin(2\beta) + R_{NP}\sin(2\theta). \tag{9}$$

Note that  $R_{\rm NP}$ ,  $R_{\rm SM}$ ,  $\beta$ , and  $\theta$  are dependent on one another through Eq. (7). Of course,  $|\mathcal{A}_{\psi K}| \leq 1$  holds within the allowed parameter space of  $R_{\rm NP}$  and  $\theta$ . The ratio of  $\mathcal{A}_{\psi K_S}$  to  $\mathcal{A}_{\psi K_S}^{\rm SM}$  is given as

$$\xi_{\psi K_S} \equiv \frac{\mathcal{A}_{\psi K_S}}{\mathcal{A}_{\psi K_S}^{\text{SM}}} \approx \frac{\sin(2\phi)}{\sin(2\beta)} = R_{\text{SM}} + R_{\text{NP}} \frac{\sin(2\theta)}{\sin(2\beta)}. \tag{10}$$

In the literature (e.g., Ref. [4]), the value of  $\mathcal{A}_{\psi K_S}^{\mathrm{SM}} \approx \sin 2\beta$  is obtained from a global analysis of the experimental data on  $|V_{ub}/V_{cb}|$ ,  $B_d^0 - \bar{B}_d^0$  mixing,  $B_s^0 - \bar{B}_s^0$  mixing, and CP violation in  $K^0 - \bar{K}^0$  mixing. The key assumption in such analyses is that there is no new-physics contribution to the  $K^0 - \bar{K}^0$ ,  $B_d^0 - \bar{B}_d^0$ , and  $B_s^0 - \bar{B}_s^0$  mixing systems. If new physics does contribute significantly to the heavy meson-antimeson mixing instead of the light one, one has to discard the direct experimental data on  $B_d^0 - \bar{B}_d^0$  mixing and  $B_s^0 - \bar{B}_s^0$  mixing in analyzing the CKM unitarity triangle. In this case, the resultant constraint on  $\sin 2\beta$  becomes somehow looser. One may observe, from the figures of the CKM unitarity triangle in Refs. [4,8], that  $0.6 \leq \sin 2\beta \leq 0.8$  is a quite generous range constrained by current data on  $|V_{ub}/V_{cb}|$  and CP violation in  $K^0 - \bar{K}^0$  mixing. Given such a generously allowed region for  $\mathcal{A}_{\psi K_S}^{\mathrm{SM}}$ , we conclude that  $\xi_{\psi K_S} > 0$  is definitely assured. Using  $\mathcal{A}_{\psi K_S}^{\mathrm{SM}} = 0.75 \pm 0.06$  [4] for illustration, we obtain

$$\xi_{\psi K_S} = \begin{cases}
0.79 \pm 0.26 , & (\text{BaBar}) , \\
1.32 \pm 0.30 . & (\text{Belle}) .
\end{cases}$$
(11)

We see that the BaBar measurement seems to indicate  $\xi_{\psi K_S} < 1$ , while the Belle measurement seems to imply  $\xi_{\psi K_S} > 1$ . If either possibility could finally be confirmed with more precise experimental data from B-meson factories, it would be a very clean signal of new physics [12]. If the further data of both BaBar and Belle Collaborations turn to coincide with each other and lead to  $\xi_{\psi K_S} \approx 1$ , however, one cannot draw the conclusion that there is no new physics in  $B_d^0$ - $\bar{B}_d^0$  mixing.

Now let us show why  $\mathcal{A}_{\psi K_S} = \mathcal{A}_{\psi K_S}^{\text{SM}}$  must not imply the absence of new physics in  $B_d^0 - \bar{B}_d^0$  mixing. Taking  $\xi_{\psi K_S} = 1$  and using Eq. (7), we obtain the following equation constraining the allowed values of  $\theta$ :

$$(1 + R_{SM}) \tan^2 2\theta - 2R_{SM} \tan 2\beta \tan 2\theta - (1 - R_{SM}) \tan^2 2\beta = 0.$$
 (12)

Then it is straightforward to find out two solutions for  $\tan 2\theta$ :

$$\tan 2\theta = \tan 2\beta \,, \tag{13a}$$

or

$$\tan 2\theta = \tan 2\beta \, \frac{R_{\rm SM} - 1}{R_{\rm SM} + 1} \,. \tag{13b}$$

Note that solution (13a) corresponds to  $R_{\rm SM} + R_{\rm NP} = 1$ . Solution (13b) implies that  $|\tan 2\theta| \ll \tan 2\beta$  may hold, if  $R_{\rm SM}$  is remarkably close to 1. Although the afore-obtained region of  $\theta$  is quite specific, it does exist and give rise to  $\xi_{\psi K_S} = 1$ . Therefore, an experimental confirmation of  $\xi_{\psi K_S} \approx 1$  cannot fully rule out the possibility of new physics hidden in  $B_d^0 - \bar{B}_d^0$  mixing.

Theoretically, the information on  $R_{\rm NP}$  and  $\theta$  can only be obtained from specific models of new physics (e.g., the supersymmetric extensions of the standard model [12]). An interesting possibility is that the new-physics contribution conserves CP (i.e.,  $\theta=0$  [13]) and all observed CP-violating phenomena in weak interactions are attributed to the non-trivial phase in the CKM matrix. In this scenario, we obtain

$$\mathcal{A}_{\psi K_S} = \sin(2\phi) = R_{\rm SM} \sin(2\beta) . \tag{14}$$

Obviously  $\mathcal{A}_{\psi K_S}/\mathcal{A}_{\psi K_S}^{\mathrm{SM}}=R_{\mathrm{SM}}\leq 1$  is required, in order to understand the present BaBar and Belle measurements.

It becomes clear that the measurement of  $\mathcal{A}_{\psi K_S}$  itself is not enough to test the self-consistency of the standard model or to pin down possible new physics hidden in  $B_d^0 - \bar{B}_d^0$  mixing. For either purpose one needs to study the CP-violating asymmetries in some other nonleptonic B-meson decays, although most of them are not so clean as  $B_d^0$  vs  $\bar{B}_d^0 \to J/\psi K_S$  decays in establishing the relations between the CP-violating observables and the fundamental CP-violating parameters [14].

In summary, we have discussed possible implications of a small or large CP-violating asymmetry in  $B_d^0$  vs  $\bar{B}_d^0 \to J/\psi K_S$  decays. While such an effect could be attributed to new physics in  $K^0$ - $\bar{K}^0$  mixing, it is most likely to result from new physics in  $B_d^0$ - $\bar{B}_d^0$  mixing. Model-independently, we have formulated the basic features of new-physics effects on CP violation in  $B_d \to J/\psi K_S$ . We have also pointed out that an experimental confirmation of  $A_{\psi K_S} \approx A_{\psi K_S}^{\rm SM}$  must not imply the absence of new physics in  $B_d^0$ - $\bar{B}_d^0$  mixing. An extensive study of all hadronic B-meson decays and CP asymmetries is desirable, in order to test the standard model and probe possible new physics at some higher energy scales.

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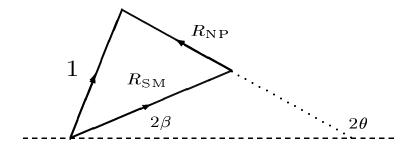


FIG. 1. Triangular relation of  $M_{12}^{\rm SM},\,M_{12}^{\rm NP}$  and  $M_{12}$  (rescaled by  $\Delta M/2$ ) in the complex plane.